



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Susan L. Lindquist et al. Art Unit : 1636
Serial No. : 10/826,157 Examiner : Unknown
Filed : April 16, 2004
Title : YEAST ECTOPICALLY EXPRESSING ABNORMALLY PROCESSED
 PROTEINS AND USES THEREFOR

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed June 28, 2004 (copy enclosed), applicants claim small entity status (see 37 CFR 1.27) and submit herewith the following:

- ☒ Payment of the basic filing fee of \$385;
- ☒ Payment of the additional/multiple dependent claims fees of \$542;
- ☒ Payment of the surcharge of \$65 for late filing of the basic filing fee and/or declaration;
- ☒ A check in the **total amount of \$992** is attached;
- ☒ A Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;
- ☒ Preliminary Amendment (3 pages);
- ☒ Verified Statement under 37 C.F.R. § 1.821(f) (1 page);

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EF353809257US

October 19, 2004
Date of Deposit

Applicant : Susan L. Lindquist et al.
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Filed : April 16, 2004
Page : 2 of 2

Attorney's Docket No.: 17481-003001 / Whitehead Ref.
WHI03-28/MIT Ref. 10256W

- ☒ Paper copy of the Sequence Listing (3 pages); and
- ☒ Computer-readable copy of the Sequence Listing (1 diskette)

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050, referencing Attorney Docket No. 17481-003001.

Respectfully submitted,

Date: October 19, 2004

Jack Brennan
Jack Brennan
Reg. No. 47,443

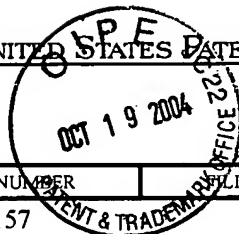
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/826,157	04/16/2004	Susan L. Lindquist	17481-003001

26161
FISH & RICHARDSON PC
225 FRANKLIN ST
BOSTON, MA 02110

CONFIRMATION NO. 8571
FORMALITIES LETTER

OC000000013094825

Date Mailed: 06/28/2004

10/21/2004 GWORDF1 00000050 10826157
NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01 FC:2051	65.00 OP
02 FC:2001	395.00 OP
03 FC:2201	352.00 OP
04 FC:2202	180.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

The applicant needs to satisfy supplemental fees problems indicated below.

460

The required item(s) identified below must be timely submitted to avoid abandonment:

344
198

- Additional claim fees of \$1084 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1984 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$1084
 - \$688 for 8 independent claims over 3.
 - \$396 for 22 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

N. Mohammed

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE